RELIMINARY AMENDMENT TRANSMITTAL LETTER Docket Number NIM-01301 Application Number Filing Date Examiner Group Art Unit 09/804,499 March 12, 2001 Nguyen, Joseph H. 2815 Invention Title WAVELENGTH STABILIZED LASER MODULE TECHNOLOGY CENTER 21 TO THE COMMISSIONER FOR PATENTS Transmitted herewith is an amendment in the above-identified application, including: (X) Preliminary Amendment (X) Postcard Receipt **CLAIMS AS AMENDED** (1) (2) (3)**CLAIMS HIGHEST PRESENT** RATE REMAINING NUMBER NUMBER AFTER **PREVIOUSLY EXTRA AMENDMENT** PAID FOR **TOTAL CLAIMS** 20 20 0 x \$18 \$0 INDEPENDENT CLAIMS 2 Minus 3 0 x \$84 MULTIPLE DEPENDENT \$280 **CLAIM ADDED TOTAL** \$0 If applicant has small entity status under 37 CFR 1.9 and **SMALL ENTITY TOTAL** \$ 1.27, then divide total fee by 2, and enter amount here. If the entry in column 1 is less than the entry in column 2, write "0" in column 3. If the highest number previously paid for IN THIS SPACE is less than 20, enter "20." If the highest number previously paid for IN THIS SPACE is less than 3, enter "3." The "highest number previously paid for" (total or independent) is the highest number found in the appropriate box in column 1. Please charge **Deposit Account Number 03-1721** in the amount of \$ duplicate copy of this sheet is enclosed. A check in the amount of \$_____ to cover the filing fee is enclosed. (X) Please credit any overpayment and/or charge any additional filing fees required under 37 CFR §§ 1.16 and 1.17 to our Deposit Account Number 03-1721. I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for

ald W. Muirhead, Reg. No. 33,978

July 8, 2003

Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 8, 2003.

Date

(10-95)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



n re application of:
Yoshitaka YOKOYAMA

Appln. No.: 09/804,499

: Art Unit:

2815

Filed: March 12, 2001

Examiner:

Nguyen, Joseph H.

#13/C 7/22/3 Sula

For: WAVELENGTH STABILIZED LASER

MODULE

Docket No.: NIM-01301

Certificate of Mailing

I hereby certify that the foregoing document is being deposited with the United States. Postal Service, postage prepaid, first class mail, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 8th day of July, 2003.

Name: Tracey A. Newell

PRELIMINARY AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to examination of the above-referenced application, please enter the following Preliminary Amendment. This paper is believed to be in compliance with the Revised Amendment Format published in the Official Gazette on February 25, 2003, and therefore to be exempt from the requirements of 37 C.F.R. § 1.121(a)-(d).

It is not believed that extensions of time or fees for net addition of claims are required, beyond those which may otherwise be provided for in documents accompanying this paper. However, in the event that additional extensions of time are necessary, then such extensions of time are hereby petitioned under 37 C.F.R. 1.136(a), and any fees required for consideration of this paper (including fees for net addition of claims) are authorized to be charged in two originally-executed copies of an Application Transmittal Letter filed herewith.